



2615  
RCA 88,767

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : D. Kessler, et al.  
Serial No. : 09/841,140  
Filed : April 24, 2001  
For : SYSTEM AND DATA FORMAT FOR PROVIDING SEAMLESS  
STREAM SWITCHING IN A DIGITAL VIDEO RECORDER  
Art Group Unit : 2615

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DEC 18 2002

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

- [ X ] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- [ ] 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Assistant Commissioner for Patents,  
Washington, D.C. 20231

Sir:

1.98: The following are submitted in the above-identified application in compliance with 37 CFR 1.97 &

- [X] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [ X ] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [ ] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [ ] 8 The required certification made in item 11 below; or
- [ ] 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [ ] 10 37 CFR§ 1.97(d): [before issue fee payment]; and
  - (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER")
  - (b) The required Certification is stated in item 11 below.
- [ X ] 11 Certification

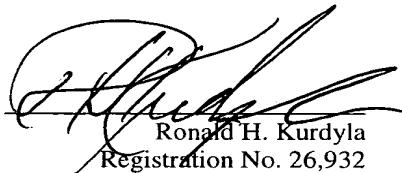
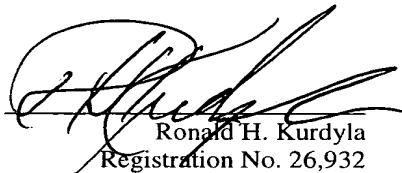
12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

D. Kessler, et al.

  
BY:   
Ronald H. Kurdyla  
Registration No. 26,932  
(609) 734-6818

Date: 12/11/02

Patent Operations  
Thomson Multimedia Licensing, Inc.  
PO. Box 5312,  
Princeton,  
NJ 08543-0028

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Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: December 11, 2002

Signature

  
Linda Tindall



U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. RCA 88,767	SERIAL NO. 09/841,140		
INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97  (Use several sheets if necessary)				APPLICANT  D. Kessler, et al.		RECEIVED	
				FILING DATE  06/11/99		GROUP DEC 18 2002 2613	
Technology Center 2600							
U. S. PATENT DOCUMENTS							
EXAMINEE INITIAL	DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE	
	AA 6437834	08/20/02	H. Tagami	H04N	5/268	Corresponds to Japan Pub. No. 2000050157 (below)	
	AB 6034746	03/07/00	P. V. Desai, et al.	H04N	7/50		
	AC 6204843	03/20/01	M. J. Freeman, et al.	H04N	7/10		
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION Yes	No
	AD WO2/087254 A2	10/31/02	WIPO	H04N	7/24		
	AE EP0938233 A2	08/25/99	EPO	H04N	7/01		
	AF WO95/17795	06/29/95	WIPO	H04N	7/01		
	AG WO96/17492	06/06/96	WIPO	H04N	7/62		
	AH WO 0066251	10/19/00	WIPO	H04N	7/24		
	AI Jap. Pub 2000050157	02-18-00	Japan	H04N	5/262	X Abst.	
OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)							
	AJ	S. Merrill Weiss entitled "Switching Facilities in MPEG-2: Necessary But Not Sufficient, SMPTE Journal, December 1995, pages 788-802					
	AK	N. Hurst and K. Cornog entitled "MPEG Splicing: A New Standard for Television - SMPTE 312M", SMPTE Journal, November 1998, pages 978-988.					
	AU						
EXAMINER N/A			DATE CONSIDERED				
SUBMITTED BY:		REG. NO.: 26,932			DATE: December 11, 2002		
 Ronald H. Kurdyla							